

part of eex group



Appendix to the application for granting of a Clearing License (only for Institution CM already admitted) for Indirect Clearing

- Required in Single Version -

13.05.2021

Leipzig

CM02a

Release 003

between

European Commodity Clearing AG

and

as Institution Clearing Member (CM)

We (the Institution CM) request the setup of accounts for Indirect Clearing. We are aware of the structure of Indirect Clearing accounts as detailed in the document "ECC Indirect Clearing Accounts MiFID II / MiFIR" available on ECCs website (<https://www.ecc.de/ecc-en/risk-management/mifid2-mifir>)

Furthermore, we declare to obey the rules outlined in the RTS on Indirect Clearing Arrangements and the rules of ECC for Indirect Clearing outlined in the Clearing Conditions. We are aware that ECC will pass information about our Indirect Clearing Account Structure to Market Surveillances of ECC related markets.

ECC charge fees in relation to Indirect Clearing.

Our required account setup for Indirect Clearing is outlined in the enclosed documents.

Enclosed Documents:

- Indirect Clearing Account Request
- Setup of Collateral Clearing Account for Indirect Clearing

Place	Date	Company stamp and legally binding signature(s) of applicant