

## **Setup of Simple Omnibus Pool**

This form shall be used to request the set-up of a Simple Omnibus Collateral Clearing Account ("SOS Pool") according to ECC Clearing Conditions section 3.5.7.

European Commodity Clearing AG Augustusplatz 9, 04109 Leipzig Phone: +49 (0) 341-24680 - 261 Fax: +49 (0) 341-24680 - 559		Name of Institut	tion Clearing Member (CM	1)	CM Member-ID:			
					EX			
We hereby re	quest to set up a SC	OS Pool.						
By default, end	d-of-day payments in	relation to the S0	OS Pool will be handled as	s follows:				
	collateral for an endution Clearing Membe		all will be deposited to the	Standard Collatera	al Clearing Account of the			
Excess cash collateral remains on the SOS Pool								
Payments will be processed net together with the Standard Collateral Clearing Account								
Following char	and to the default cot	un aball ba impla	mantad:					
Following change to the default setup shall be implemented:  Direct cash collateral deposit to the SOS Pool in case of end-of-day margin call – "Direct Debit"								
	ish collateral deposit Direct Debit" option is		in case of end-of-day mar	gin caii – Direct D	edit			
Offiny, if i	-		of excess collateral from	SOS Pool				
	Automatic cash collateral withdrawal of excess collateral from SOS Pool  – "Auto-Repay"							
	Gross payment for – "Separate Cash							
Only, if "S	Separate Cash Chair	า" option is select	ed:					
Separate Cash Chain for <b>EU</b> Conditions:			nent settlement for the SO	S Pool in line with	the Clearing			
	Option A:	T2 EUR Account <sup>1</sup>	Own Account	OR 3 <sup>rd</sup> Pari	ty Account <sup>2</sup>			
	Party BIC							
	SB Cash Account	Number						
	Option B:	EUR Commercial	Bank Account <sup>3</sup>	Own Account				
	BIC of Correspond	dent Bank						
	Account Number Correspondent Ba							

<sup>&</sup>lt;sup>1</sup> A registration of the T2 account in the ECC Settlement Bank Account Group in T2 is required. In case of need for process clarification, please align with memberreadiness@ecc.de.

<sup>&</sup>lt;sup>2</sup> A Power of Attorney of the Account holder is required (CM12).

<sup>&</sup>lt;sup>3</sup> This option is only available for Clearing Members who can evidence that they cannot get access to a T2 account as stipulated in chapter 2.1.4 of the ECC Clearing Conditions. Please align with <a href="memberreadiness@ecc.de">memberreadiness@ecc.de</a> if you would like to choose this option. Separate form for activation of Commercial Bank Account is required (CM08).

Optional: Separate 0	Cash Chain for <b>USD/G</b>	<b>BP/JPY</b> for	the SOS Pool in line with	n the Clea	aring Conditions <sup>4</sup> :	
U	USD Commercial Bank Account <sup>6</sup>		Own Account	OR	3rd Party Account <sup>6</sup>	
ВІ	C of Correspondent E	Bank				
	ccount Number with orrespondent Bank					
G	GBP Commercial Bank Account <sup>5</sup>		Own Account	OR	3rd Party Account <sup>6</sup>	
ВІ	C of Correspondent E	Bank				
	ccount Number with orrespondent Bank					
JF	PY Commercial Bank A	Account <sup>5</sup>	Own Account	OR	3rd Party Account <sup>6</sup>	
ВІ	C of Correspondent E	Bank				
	Account Number with Correspondent Bank					
	d if security collateral s			SOS Boo	1.	
	Pledged securities account (Cascade or NCM ID		or CM ID (only in case		Position-Account <sup>7</sup>	
Name of N	Name of NCM / Client		ency Positions of CM)		Position-Account	
Filled by ECC Pool	ID for SOS Pool		EX			
Place	Date	Legally bi	nding signature by CM			
Place	Date	Legally bi	nding signature by CM			
Place	Date	Legally bi	nding signature by CM			

In case of trading of product denoted in USD/ GBP / JPY
 Separate form for activation of Commercial Bank Account is required (CM08).
 A Power of Attorney of the Account holder is required (CM08a).

<sup>&</sup>lt;sup>7</sup> In case more lines are required, please attach a signed letter including a table with all required NCMs and Position-Accounts.