

part of eex group



# Application for Admission as Non- Clearing Member

23.05.2024

Leipzig

NCM01

Release 015

# Preliminary remark

With this application form you can apply for admission as a Non-clearing Member (NCM) at European Commodity Clearing AG (ECC) according to the Clearing Conditions of ECC. NCMs are companies without a clearing license, which take part in clearing as clients of a Clearing Member on the markets for which ECC provides clearing services. Further details regarding the legal relationship between the parties are provided in the Clearing Conditions of ECC.

Admission involves the following steps:

1. Please fill in the application form for admission as an NCM.
2. Send the application form for licensing as an NCM to [memberreadiness@ecc.de](mailto:memberreadiness@ecc.de)
3. ECC will review the application and inform the applicant with regard to its decision.

# Application

We hereby apply for admission as a Non-Clearing Member according to the Clearing Conditions of ECC. The legal bases of admission as a Non-Clearing Member and participation in clearing are established in:

- This application
- The Clearing Conditions of ECC,
- The NCM agreement
- The price list of ECC.

By signing this application, we confirm that we are familiar with the above mentioned rules and regulations and that we acknowledge and observe them. The most current version of the documents can be downloaded from the ECC website at [www.ecc.de](http://www.ecc.de).

# Applicant

Name of Company and Company Register	
Name of the company according to company register	Legal form
Company register no.	Place of registration
Tax number	Sales tax identification number
Address of the applicant	
Country	Street and house number
Post code	Town

## General contact persons

Mr/ Ms	Surname	First Name	Phone	E-Mail

## Invoicing contacts

These contacts will be setup as user for the ECC Member Area and receive in the course of the electronic invoice dispatching invoices and credit notes electronically in form of a download link send via email.

It is mandatory to name at least one E-mail address.

	Surname	First Name	E-Mail
1	Invoicing 1	Contact Report	
2	Invoicing 2	Contact Report	

## Emergency procedure and Default contacts

These persons are authorised to issue legally binding statements on behalf of the applicant in cases of emergency. These contacts are required for default management purposes according to the clearing conditions.

It is mandatory to name at least one E-mail address.

Mr/ Ms	Surname	First Name	Phone	E-Mail

# ECC Central Coordinator contact

The person listed below shall be appointed as ECC Central Coordinator to act as the main contact person for clearing related membership matters. Only personalized business addresses can be accepted.

The ECC Central Coordinator, also ECC CC,

- I) shall be responsible for the internal distribution of all European Clearing Commodities AG (ECC AG) information,
- II) shall be authorized to represent and make declarations on behalf of the member vis-à-vis ECC AG in all clearing membership related matters, and
- III) will receive access to the Member Section and the Customer Portal and may administrate the user accounts therein.

It is mandatory to name one E-mail address.

Mr/ Ms	Surname	First Name	Phone	E-Mail

# Persons Entitled to Sign

Name of the company according to the company register / <i>Firmenbezeichnung laut Handelsregister</i>	Applicable for the ticked business partners / <i>Maßgeblich für die angekreuzten Geschäftspartner</i>
	<input type="checkbox"/> European Commodity Clearing AG <input type="checkbox"/> European Energy Exchange AG <input type="checkbox"/> EPEX SPOT SE

The following persons are authorized representatives and are authorized to sign in all contractual matters and business operations in respect of the companies ticked above. Please enter at least the signatories of this form (see page 6) here.

N°	Name	First Name / Vorname	Email	Signature Specimen	Entitled to sign alone / <i>Einzeln unterschrifts- berechtigt</i>	Joint signature <sup>1</sup> / <i>unterschrifts- berechtigt mit<sup>2</sup></i>
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

<sup>1</sup> Only allowed to sign together with N° / *Unterschriftsberechtigt nur gemeinsam mit N°*

# Declarations

1. We assure that all necessary national and/or international authorizations/ licenses/ and permissions have been obtained in order to take part in clearing by ECC, Leipzig/Germany. We furthermore confirm that clearing does not violate any laws, regulations, obligations etc. and that it is allowed under current legislation.
2. After licensing the applicant will receive a separate invoice for fees, which results from the fee structure referred to in the price list in the respectively valid version. The applicant hereby agrees that all fees incurred in accordance with the price list may be collected from the bank account indicated on the debit order.
3. We agree to the publication of our company as an NCM.

## PIVACY NOTICE

The undersigned assures that he/she has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he/she has brought to their attention the PRIVACY NOTICE which can be found on our company websites.

On behalf of the **Non-Clearing Member**:

Place	Date	Company stamp and legally binding signature(s)
		<p><i>Please be aware that the persons signing this NCM01 will be requested to perform video identification as acting person according to the German anti-money laundering act.</i></p> <p>Name, Surname:</p> <p>Personal business e-mail</p> <p>address:</p> <p>Privat address of residence:</p>
		<p><i>Please be aware that the persons signing this NCM01 will be requested to perform video identification as acting person according to the German anti-money laundering act.</i></p> <p>Name, Surname:</p> <p>Personal business e-mail</p> <p>address:</p> <p>Privat address of residence:</p>