part of eex group



Application for Setup/ Deletion of Security Administration (SA) Account in C7 Clearing GUI

- only required as scan -

31.07.2020 Leipzig

T10c Release 001A

Application for Setup/Deletion of Security Administration (SA) Account in C7 Clearing GUI

Please send this form in scanned version to the following E-Mail address: memberreadiness@ecc.de

| Name of Applicant (Company's name): | Member ID*: E X *Need to get filled only in case you are already member at ECC. NEW members can leave it blank. | |
|-------------------------------------|---|----------|
| | Setup | Deletion |

Contact Details Security Administrator (SA):

| Mr./ Ms. | Name, First Name | Phone | E-Mail | NCM/ CM/ DCP CM |
|-------------|------------------|-------|--------|--------------------|
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Duties of SA:

- Setup
- Deletion
- Entitlement
- Password reset
- ... of Back-Office (BCK) User

Further information on the procedure are outlined in the C7 Clearing GUI User Manual:

 $https://www.eurexclearing.com/resource/blob/1670156/65c984 affb71a6ce99a7f70849685c4f/data/C7_60_Clearing_GUI_User_Manual.pdf$

Assignment of BCK-User IDs:

- Applies for Back-Office Staff
- Must be entitled with role "PTM Position/Transaction Management" for e.g. Position Transfers
- User IDs of Back-Office accounts consist of <u>11 characters</u> and <u>three components</u>: (please assign respectively)

| | | C7 User ID | |
|----------|-----------|------------|-----------|
| | Member ID | User ID | |
| | | Subgroup | User Code |
| Example: | ABCEX | ВСК | 001 |

Registration of a new C7 User-ID in case of self-management by the member

With C7 version 6.0 the member is now able to add new Back Office user by themselves, here done by the Security Administrator. Such user will then be unknown to ECC as C7 doesn't store contact details of the respective person behind. In result the user cannot be identified in case of any request to act on-behalf (i.e. Give-up, Take-up).

In case a specific person should be tracked to a specific user ID please fill the respective table below and hand it in validly signed:

| Mr./ Ms. | Name, First Name | Phone | E-Mail | User ID |
|-------------|------------------|-------|--------|---------|
| | | | | |
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DATA PROTECTION DECLARATION

The undersigned assures that he has fully informed the contact persons named in connection with the contract, the exchange membership relationship or other legal relationships about the transfer of their personal data and that he has brought to their attention the DATA PROTECTION DECLARATION which can be found on our company websites.

On behalf of the Trading Participant:

| Place | Date | Company stamp and legally binding signature(s) Signature(s): |
|-------|------|---|
| | | Name(s): |

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